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PATENT APPLICATION

ATTORNEY DOCKET NO. 70980061-2

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kee Yean Ng

Serial No.: 09/924,653

Examiner: LEURIG, SHARLENE L.

Filing Date: Aug. 8, 2001

Group Art Unit: 2879

Title: Light Emitting Devices

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e), and  
( ) a \$180.00 processing fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

( ) Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (\*) were disclosed in Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_, now U. S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: Dec. 3, 2003

Typed Name: Orlando Quiroz

Signature: 

Respectfully submitted,

Kee Yean Ng

By 

Thomas X. Li

Attorney/Agent for Applicant(s)  
Reg. No. 37,079

Date: Dec. 3, 2003



## PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

70980061-2

SERIAL NO.

09/924,653

APPLICANT

Kee Y an Ng

FILING DATE

Aug. 8, 2001

GROUP

2879

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
	*	5,534,718	Jul. 9, 1996	Chang

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	*	EP1020935A	19.07.00	Maeda et al.		X
	*	GB149715	05.01.78	Heinecke		X
	*	DE29804149U1	18.06.98	Chen et al.		x
	*	GB2221570A	07.02.90	Walker et al.		X

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	Patent Abstracts Of Japan, Inventor(s): Kiyohashi Kazuo, Title: "Lead Frame For Light Emitting Diode", Publication Date: Sept. 22, 1980.
	Patent Abstracts Of Japan, Inventoe(s): Shimizu Yoshinori, Title: "Light-Emitting Diode And Its Forming Method", Publication Date: Feb. 12, 1999.
	Patent Abstracts Of Japan, Inventor(s): Runaraito KK, Title: Light Emitting Diode And Manufacture Thereof", Publication Date: Nov. 14, 2000, (No Translation).
EXAMINER	DATE CONSIDERED

\* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)